

# A Comparison Study between Various Fuzzy Clustering Algorithms

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## Abstract

Clustering is the classification of objects into different groups, or more precisely, the partitioning of a data set into subsets (clusters), so that the data in each subset shares some common features. This paper reviews and compares between the two most famous clustering techniques: Fuzzy C-mean (FCM) algorithm and Subtractive clustering algorithm. The comparison is based on validity measurement of their clustering results. Highly non-linear functions are modeled and a comparison is made between the two algorithms according to their capabilities of modeling. Also the performance of the two algorithms is tested against experimental data. The number of clusters is changed for the fuzzy c-mean algorithm. The validity results are calculated for several cases. As for subtractive clustering, the radii parameter is changed to obtain different number of clusters. Generally, increasing the number of generated cluster yields an improvement in the validity index value. The optimal modelling results are obtained when the validity indices are on their optimal values. Also, the models generated from subtractive clustering usually are more accurate than those generated using FCM algorithm. A training algorithm is needed to accurately generate models using FCM. However, subtractive clustering does not need training algorithm. FCM has inconsistency problem where different runs of the FCM yields different results. On the other hand, subtractive algorithm produces consistent results.

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*Keywords:* data clustering; fuzzy c-means; subtractive clustering; system modeling

## 1. Introduction

Clustering is the classification of objects into different groups, or more precisely, the partitioning of a data set into subsets (clusters), so that the data in each subset shares some common features, often proximity according to some defined distance measure. Clustering plays an important role in our life, since we live in a world full of data where we encounter a large amount of information. One of the vital means in dealing with these data is to classify or group them into a set of categories or clusters. Clustering finds application in many fields. For example, data clustering is a common technique for statistical data analysis, which is used in many fields, including machine learning, data mining, pattern recognition, image analysis and bioinformatics. Also, clustering is used to discover relevance knowledge in data.

Clustering finds application in system modelling. Modelling of system behaviour has been a challenging problem in various disciplines. Obtaining a mathematical model for a complex system may not always be possible. Besides, solving a mathematical model of a complex system is difficult. Fortunately, clustering and fuzzy logic together provide simple powerful techniques to model complex systems. Clustering is considered powerful tool for model construction. It identifies the natural groupings in data from a large data set, which allows concise representation of relationships hidden in the data. Fuzzy logic is efficient theory to handle imprecision. It can take imprecise observations for inputs and yet arrive to precise

values for outputs. The Fuzzy Inference System (FIS) is a simple way to construct systems models without using complex analytical equations.

Several clustering techniques have been developed. Hierarchical clustering produces a graphic representation of data [1]. This method is often computationally inefficient, with the possible exception of patterns with binary variables. Partitional clustering is considered the second general category of clustering. It concerns with building partitions (clusters) of data sets according to the relative proximity of the points in the data sets to each other. Generally, algorithms can be categorized according to their way in unveiling the patterns inside the raw data sets. These classifications are the objective function and the mountain function based clustering algorithms.

In Sugeno type models, the consequent of a rule can be expressed as a polynomial function of the inputs and the order of the polynomial also determines the order of the model. The optimal consequent parameters (coefficients of the polynomial function) for a given set of clusters are obtained by the Least Square Estimation (LSE) method.

This paper reviews and compares between the two famous clustering techniques: Fuzzy C-mean algorithm and Subtractive clustering algorithm. These methods are implemented and their performances are tested against highly nonlinear functions and experimental data from Ref. [2]. Three validity measures are used to assess the performance of the algorithms: Dave's, Bezdek and Xie and Beni indices. Also a comparison between the FCM algorithm, Subtractive algorithm and algorithms presented in Alta et al. [3] and Moaqt [4] is made. The effects of different parameters on the performance of the algorithms are investigated. For the FCM, the number of clusters is

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changed and the validity results are calculated for each case. For subtractive clustering, the radii parameter is changed to obtain different number of clusters. The calculated validity results are listed and compared for each case. Models are built based on these clustering results using Sugeno type models. Results are plotted against the original function and against the model. The modeling error is calculated for each case.

## 2. Data Clustering Techniques

In this section a detailed discussion of FCM and Subtractive clustering is presented.

### 2.1. Fuzzy c-Means clustering:

Fuzzy c-means algorithm (FCM), also known as Fuzzy ISODATA, is by far the most popular objective function based fuzzy clustering algorithm. It is first developed by Dunn [5] and improved by Bezdek [6]. The objective (cost) function used in FCM presented in [6] is:

$$J_m(U, V, X) = \sum_{i=1}^c \sum_{j=1}^N u_{ik}^m d_{ik}^2 = \sum_{i=1}^c \sum_{j=1}^N u_{ik}^m \|x_j - v_i\|^2 \quad (1)$$

Where,  $U = [u_{ik}] \in M_{fc}$  is a fuzzy partition matrix of  $Z$ .

$V = [V_1, V_2, V_3, \dots, V_c], V_i \in R^n$  is a vector of cluster prototypes (centers), which has to be determined.

$D_{ikA} = \|x_k - V_i\|_A^2 = (x_k - V_i)^T A (x_k - V_i)$  is a squared inner-product distance norm, and  $m \in [1, \infty)$  is a parameter which determines the fuzziness of the resulting clusters. The value of the cost function can be seen as a measure of the total variance of  $x_k$  from  $v_i$ . The necessary conditions for minimizing equation (1) are:

$$u_{ik} = \frac{1}{\sum_{j=1}^c \left( \frac{D_{ikA}}{D_{jkA}} \right)^{2/(m-1)}}, 1 \leq i \leq c, 1 \leq k \leq n \quad (2)$$

And

$$v_k = \frac{\sum_{i=1}^c u_{ik}^m x_k}{\sum_{i=1}^c \left( \frac{D_{ikA}}{D_{jkA}} \right)^{2/(m-1)}}, 1 \leq i \leq c \quad (3)$$

Several parameters must be specified in order to carry FCM algorithm; the number of clusters  $c$ , the fuzziness exponent  $m$ , the termination tolerance  $\epsilon$ , and the norm-inducing matrix  $A$ . Finally, the fuzzy partition matrix  $U$  must be initialized. The number of clusters  $c$  is the most important parameter. When clustering real data without any priori information about the structures in the data, one usually has to make assumptions about the number of underlying clusters. The chosen clustering algorithm then searches for  $c$  clusters, regardless of whether they are really present in the data or not. The validity measure approach and iterative merging or insertion of clusters approach are the main approaches used to determine the appropriate number of clusters in data. Figure 1 shows the steps of carrying FCM algorithm.

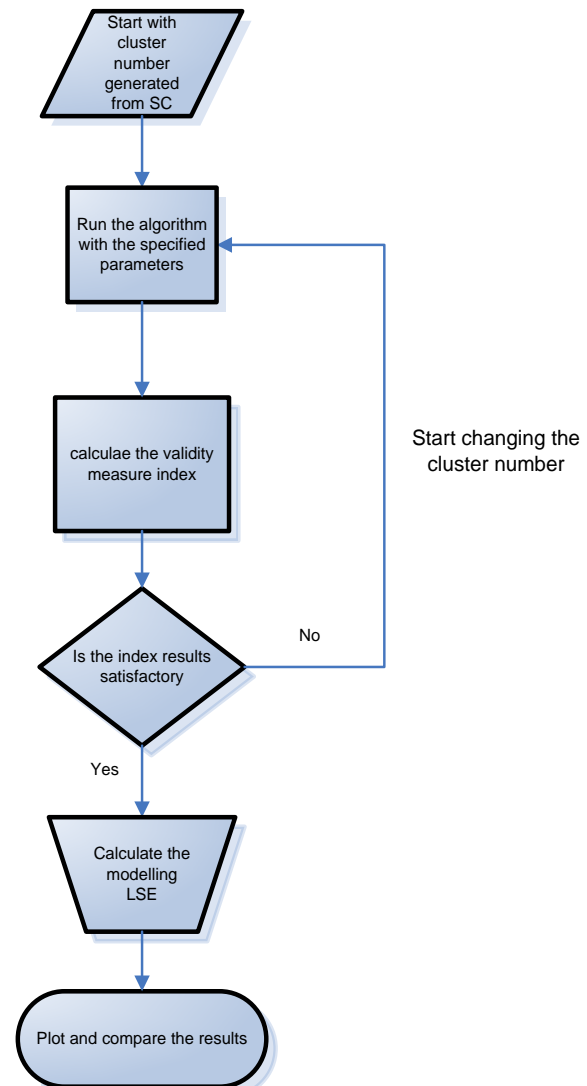


Figure 1: FCM clustering algorithm procedure.

### 2.2. Subtractive clustering algorithm:

Clustering algorithms typically require the user to pre-specify the number of cluster centers and their initial locations. The Fuzzy C-Means algorithm [6] is well-known examples of such clustering algorithms. The quality of the solution depends strongly on the choice of initial values (i.e., the number of cluster centers and their initial locations). Yager and Filev [7] proposed a simple and effective algorithm, called the mountain method, for estimating the number and initial location of cluster centers. Their method is based on gridding the data space and computing a potential value for each grid point based on its distances to the actual data points. A grid point with many data points nearby will have a high potential value. The grid point with the highest potential value is chosen as the first cluster center. The key idea in their method is that once the first cluster center is chosen, the potential of all grid points is reduced according to their distance from the cluster center. Grid points near the first cluster center will have greatly reduced potential. The next cluster center is then placed at the grid point with the highest remaining potential value. This procedure of acquiring new cluster center and reducing the potential of surrounding grid points repeats until the potential of all grid points falls

below a threshold. Although this method is simple and effective, the computation grows exponentially with the dimension of the problem because the mountain function has to be evaluated at each grid point.

Chiu [8] proposed an extension of Yager and Filev's mountain method, called subtractive clustering.

This method solves the computational problem associated with mountain method. It uses data points as the candidates for cluster centers, instead of grid points as in mountain clustering. The computation for this technique is now proportional to the problem size instead of the problem dimension. The problem with this method is that sometimes the actual cluster centres are not necessarily located at one of the data points. However, this method provides a good approximation, especially with the reduced computation that this method offers. It also eliminates the need to specify a grid resolution, in which tradeoffs between accuracy and computational complexity must be considered. The subtractive clustering method also extends the mountain method's criterion for accepting and rejecting cluster centres.

The parameters of the subtractive clustering are  $\chi_i$  is the normalized data vector of both input and output dimensions defined as:  $x_1^i = \frac{x_1^i - \min\{x^i\}}{\max\{x^i\} - \min\{x^i\}}$ ,  $n$  is

total number of data vectors,  $r_a$  is hyper sphere cluster radius in data space,  $r_b$  is the hyper sphere penalty radius in data space,  $P_i$  is the potential value of data vector  $i$ ,  $\eta$  is the squash factor =  $\frac{r_a}{r_b}$ ,  $\bar{\epsilon}$  is the accept ratio, and  $\underline{\epsilon}$  is

the reject ratio. The subtractive clustering method works as follows. Consider a collection of  $n$  data points  $\{x_1, x_2, x_3, \dots, x_n\}$  in an  $M$  dimensional space. Without loss of generality, the data points are assumed to have been normalized in each dimension so that they are bounded by a unit hypercube. Each data point is considered as a potential cluster center. The potential of data point  $x_i$  is defined as:

$$P_i = \sum_{j=1}^n e^{-\frac{4\|x_i - x_j\|^2}{r_a^2}} \quad (4)$$

Where, the symbol  $\| \cdot \|$  denotes the Euclidean distance, and  $r_a$  is a positive constant. Thus, the measure of the potential for a data point is a function of its distances to all other data points. A data point with many neighboring data points will have a high potential value. The constant  $r_a$  is effectively the radius defining a neighborhood; data points outside this radius have little influence on the potential. After the potential of every data point has been computed, we select the data point with the highest potential as the first cluster center. Let  $x_1^*$  be the location of the first cluster center and  $P_1^*$  be its potential value. We then revise the potential of each data point  $x_i$  by the formula:

$$p_i = p_i - p_1^* e^{-\frac{4\|x_i - x_1\|^2}{r_b^2}} \quad (5)$$

where  $r_b$  is a positive constant. Thus, we subtract an amount of potential from each data point as a function of its distance from the first cluster center. The data points

near the first cluster center will have greatly reduced potential, and therefore will unlikely be selected as the next cluster center. The constant  $r_b$  is effectively the radius defining the neighborhood which will have measurable reductions in potential. When the potential of all data points has been revised, we select the data point with the highest remaining potential as the second cluster center. This process continues until a sufficient number of clusters are obtained. In addition to these criteria for ending the clustering process are criteria for accepting and rejecting cluster centers that help avoid marginal cluster centers. Figure 2 demonstrates the procedure followed in determining the best clustering results output from the subtractive clustering algorithm.

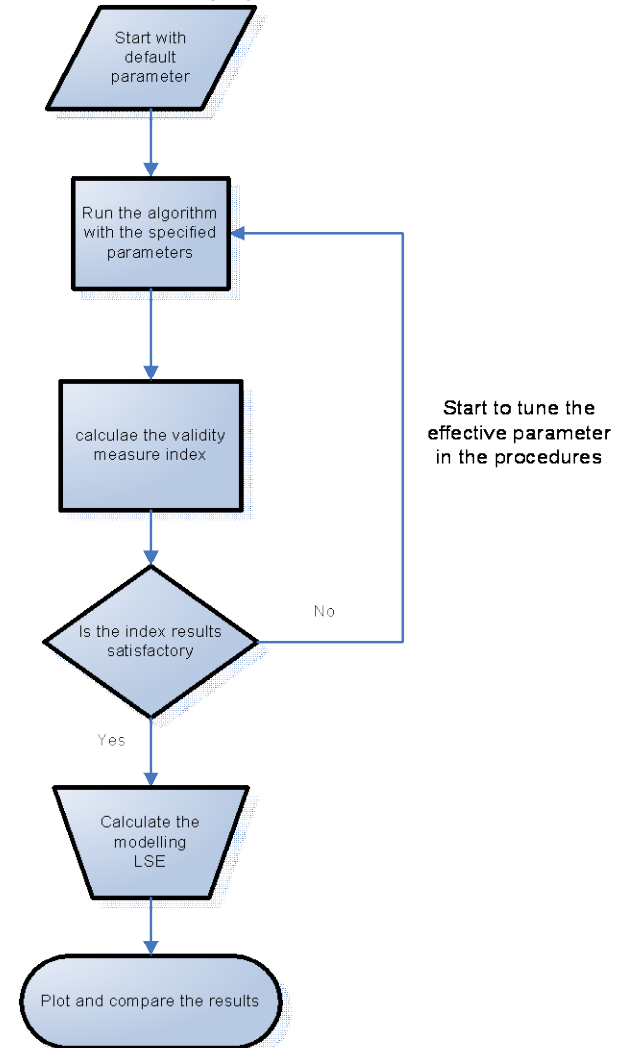


Figure 2: Subtractive clustering algorithm procedure.

### 3. Validity Measurement

This section presents the widely accepted three validity indices: Bezdek, Dave's, and Xie-Beni. These indices are used to evaluate the performance of each algorithm. Validity measures are scalar indices that assess the goodness of the obtained partition. Clustering algorithms generally aim to locate well separated and compact clusters. When the number of clusters is chosen equal to the number of groups that actually exist in the data, it can be expected that the clustering algorithm will identify them

correctly. When this is not the case, misclassifications appear, and the clusters are not likely to be well separated and compact. Hence, most cluster validity measures are designed to quantify the separation and the compactness of the clusters. However, as Bezdek [6] pointed out that the concept of cluster validity is open to interpretation and can be formulated in different ways. Consequently, many validity measures have been introduced in the literature, Bezdek [6], Dave's [9], Gath and Geva [10], Pal and Bezdek [11].

### 3.1. Bezdek index :

Bezdek [6] proposed an index that is sum of the internal products for all the member ship values assigned to each point inside the U output matrix. Its value is between  $[1/c, 1]$  and the higher the value the more accurate the clustering results is. The index was defined as:

$$V_{PC} = \frac{1}{n} \sum_{i=1}^c \sum_{j=1}^n u_{ij}^2 \quad (6)$$

In general, an optimal cluster number  $c^*$  that produces the best clustering performance for the data is found by solving:

$$\max_{2 \leq c \leq n-1} V_{PC} \quad (7)$$

### 3.2. Dave's Validity Index:

The Dave's validity index presented in [9] has been successfully used by many researchers. It has an excellent sense to hidden structures inside the data set. Dave's [9]'s defined the validity measure as:

$$V_{MPC} = 1 - \frac{c}{c-1} (1 - V_{PC}) \quad (8)$$

Where  $V_{PC}$  is defined in equation (6)

This is a modified version of validity measure  $V_{PC}$  proposed by Bezdek [6]. Dave's index usually ranges between 0 and 1. The higher the value of the index is, the better the results are. The study by Wang et al [12] reported that  $V_{MPC}$  has successfully discovered the optimal number of clusters in most of the testing benchmarks.

### 3.3. Xie and Beni Index:

Xie and Beni validity index [13] is defined as:

$$\chi(Z; U, V) = \frac{\sum_{i=1}^c \sum_{k=1}^N u_{ik}^m \|z_k - v_i\|^2}{c \min_{i,j} (\|v_i - v_j\|^2)} \quad (9)$$

This index has been found to perform well in practice. It can be interpreted as the ratio of the total within-group variance and the separation of the cluster centres. The optimal  $c^*$  that produces the best clustering performance for the data is found by solving

$$\min_{2 \leq c \leq n-1} \chi(Z, U, V)$$

## 4. Results and Discussion

The Fuzzy C-mean algorithm and Subtractive clustering algorithm are implemented to find the number & the position of clusters for a set of highly non-linear data. The clustering results obtained are tested using validity measurement indices that measure the overall goodness of the clustering results. The best clustering results obtained are used to build input/output fuzzy models. These fuzzy models are used to model the original data entered to the algorithm. The results obtained from the subtractive clustering algorithm are used directly to build the system model, whereas the FCM output entered to a Sugeno-type training routine. The obtained least square modeling error results are compared against similar recent researches in this field. When possibility allowed, the same functions and settings have been used for each case.

This section starts with presenting some simple example to illustrate the behavior of the algorithms studied in this paper. Next two highly nonlinear functions are modeled using both algorithms. Finally experimental data are modeled.

### 4.1. Illustrative cases:

In order to clarify the basic behavior of the two clustering algorithms; three cases are discussed:

#### 4.1.1. Sine wave data modeling

Figure 3 compares between FCM and Subtractive clustering in modeling sine wave data. For this data, Subtractive clustering outperforms the FCM as the eventual goal of the problem is to create a model for the input system. The FCM prototypes are likely to be in the middle area especially in the rounded area as in the peak and the bottom of the sinusoidal wave. This is because the FCM would always estimate the cluster center over a circular data area. When remodeling these prototypes to obtain the original system model, the prototypes generated by the FCM will be shifted outward. As a result, the entire model will be shifted away from the original model. The FCM resulting cluster centers are highlighted by a circle. We can see that subtractive clustering was successful in defining the cluster center because the subtractive clustering assumes one of the original data points to act as the prototype of the cluster center. The resulting subtractive clustering centers are pointed by black box.

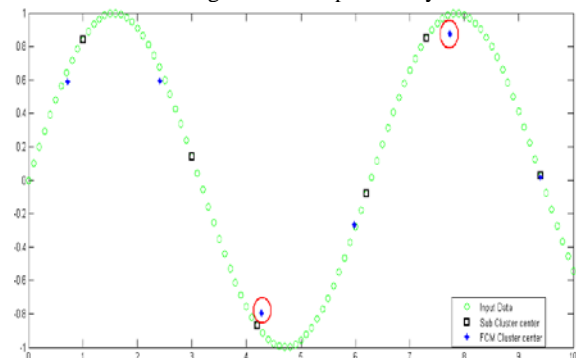


Figure 3: Sine wave data clustering, FCM vs Subtractive.

4.1.2. Scattered data clustering

The behavior of the two algorithms is tested against scattered discontinuous data. The subtractive still deploy the same criteria to find out the cluster center from the system available points. Thus, it might end to choose relatively isolated points as a cluster center. Figure 4 compares between the two algorithms with scattered data. The suspected points for Subtractive algorithms are circled. However, the FCM still deploy the weighted center. It can reach more reasonable and logical distribution over the data. The performance of the FCM is more reliable for this case.

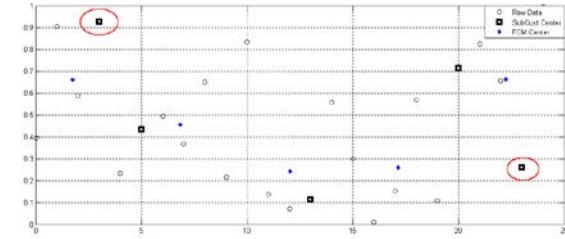


Figure 4: Scattered points clustering FCM versus Subtractive.

4.1.3. Well separated clusters

In this section, comparison between the two algorithms for clustering well separated clusters is presented. A sample data set provided by Mathwork [50] that contains 600 points distributed in three well defined clusters, are clustered using FCM and Subtractive algorithms. The effect of the number of clusters parameter in the FCM performance is investigated. Also the effect of radii parameters in the Subtractive algorithm is studied. Dave's, Bezdek and Xi-Beni validity indices variations with number of clusters are calculated and listed.

Table 1 lists the results by using FCM with varying number of clusters. It can be easily seen from table 1 that there are three well-defined clusters.

Table 1: FCM clustering algorithms VS number of clusters.

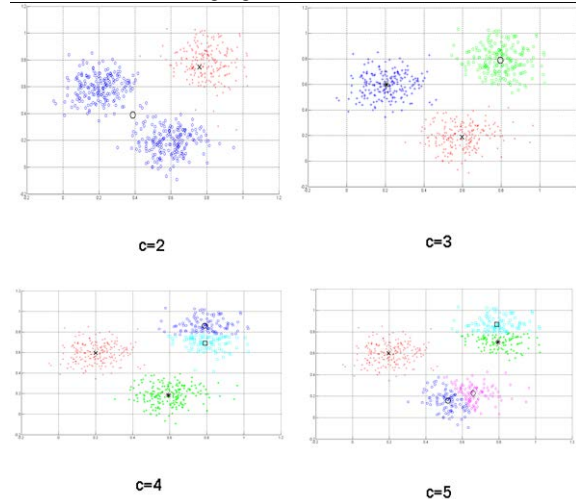


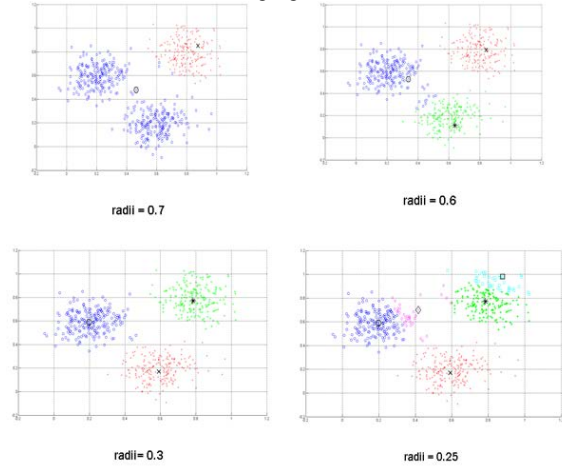
Table 2 list of Dave's validity index  $V_{MPC}$  and Bezdek index  $V_{PC}$  as a function of number of clusters assigned for FCM clustering. The parameters like fuzziness  $m=2$ , number of iteration =100, and minimum amount of improvement  $1*10^{-5}$  are used. It can be seen from table 2 that the optimal value for the validity indices obtained when the number of chosen clusters is three, which reflects the natural grouping of the structure inside this data sample.

Table 2: Dave's validity index  $V_{MPC}$  and Bezdek index  $V_{PC}$  versus number of clusters  $c$ .

Number of clusters $c$	2	3	4	5
$V_{MPC}$	0.5029	0.7496	0.6437	0.5656
$V_{PC}$	0.7514	0.8331	0.7328	0.6500

As discussed earlier, Subtractive algorithm has many parameters to tune. In this study, only the effect of radii parameter has been investigated. Since this parameter has the highest effect in changing the resulting clusters number. Table 3 lists the results by using Subtractive algorithm with varying the radii.

Table 3: Subtractive clustering algorithms Vs radii.



Dave's validity and Bezdek indices are computed for several values of radii parameter for subtractive clustering. Parameters such as squash factor = 1.25, accept ratio = 0.5 and reject ratio = 0.15 are used. Table 4 lists the radii parameter with the generated number of clusters versus Dave's validity index  $V_{MPC}$  and Bezdek index  $V_{PC}$ . It is apparent from the validity measures that the optimal clustering results are obtained with 3 clusters which generated from using radii = 0.3 units. Even though the radii=0.6 and radii =0.3 generates three clusters, the  $V_{MPC}$  for radii =0.3 is better than that associated with 0.6. Similar behavior is observed for Bezdek validity index.

Table 4: Dave's validity index  $V_{MPC}$  and Bezdek index  $V_{PC}$  versus radii for Subtractive algorithm.

Radii	0.7	0.6	0.3	0.25
Number of clusters $c$	2	3	3	5
$V_{MPC}$	0.5071	0.6515	0.7478	0.5715
$V_{PC}$	0.7536	0.7677	0.8318	0.6572

Figures 5 and 6 show the Bezdek and the Dave's validity index variations with number of cluster for both FCM and Subtractive algorithms, simultaneously. Both indices agree that the optimal values for both algorithms are obtained when three clusters are used.

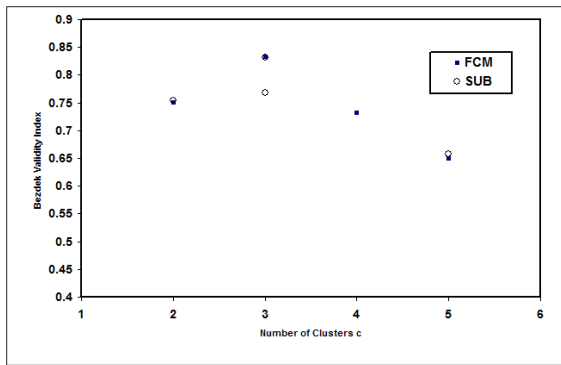


Figure 5: Bezdek validity index Vs number of clusters c for FCM and Sub Algorithms.

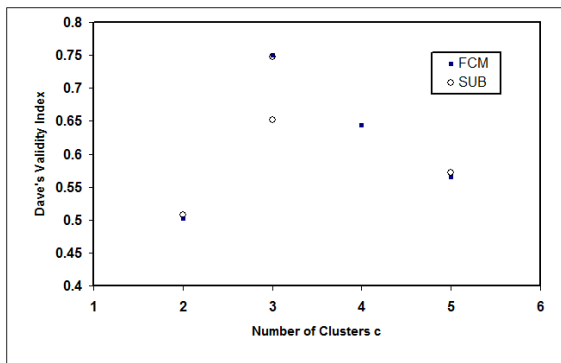


Figure 6: Dave's validity index Vs number of clusters c for FCM and Sub Algorithms.

Figure 7 shows Xi-Beni validity indices variations with number of clusters c for FCM and Subtractive algorithms. Same parameters mentioned previously are used. Although for Subtractive algorithm the same number of cluster are generated for the radii 0.6 and 0.3, the validity measure goes to its optimal value for radii= 0.3.

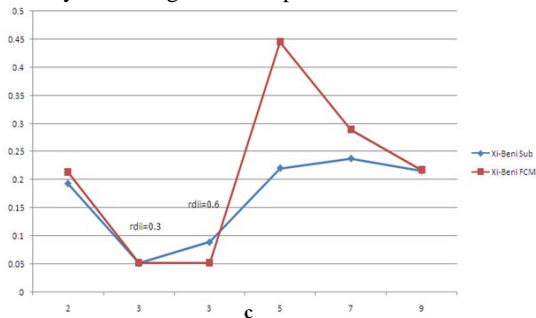


Figure 7: Xi-Beni validity indices variations with number of clusters c for FCM and Subtractive algorithms.

4.2. Non-linear system modeling:

In this section, several examples are presented in deploying the clustering algorithms to obtain an accurate model of highly nonlinear functions. The models are generated from the two clustering methods. For subtractive clustering the radii parameters are tuned. This automatically generates the number of clusters. The number of clusters is input to the FCM algorithm as starting point only. The generated models is trained using hybrid learning algorithm to identify the membership function parameters of single-output, (Sugeno type fuzzy inference systems FIS). A combination of least- squares and back propagation gradient descent methods are used

for training FIS membership function parameters to model the given model. The training routine is used as a tool to shape out the resulting model and to optimize the rule.

The results obtained from using FCM and Subtractive clustering for example 1 are compared against two recent studied conducted by Alta et al. [3] and Moaqt [4]. Alta et al.[3] used GA to optimize the clustering parameters. Moaqt [4] used 2 nd orders Sugeno consequent system to optimize the resulting model from subtractive clustering algorithm.

Example 1: Modeling the nonlinear function  $y = \frac{\sin x}{x}$

Figure 8 shows a plot of the nonlinear function  $\frac{\sin x}{x}$  over two cycles and the optimal resulting generated

model from Subtractive and FCM clustering. Generated models are obtained by using 100 clusters for FCM and 0.01 is set for radii parameter for Subtractive algorithm. It can be seen from Figure 8 that both algorithms accurately model the original functions. Table 5 lists the validity measures for subtractive algorithm. Changing the Radii parameter has effectively affects the performance of the algorithm. Generally, lowering the radii parameter has increased the performance measures indices, except for the case of 5 clusters. This is because of the nature of the data considered in this example. Table 6 lists validity measures for the FCM model. Similar behavior is observed for FCM method, that is, as the number of clusters increases, the performance improves. The \*\* superscript indicates that the index values are undefined but it is approaching 1:

$$\lim_{c \rightarrow n} \text{Dave's index} = 1$$

and

$$\lim_{c \rightarrow n} \text{Xi-Beni index} = 1$$

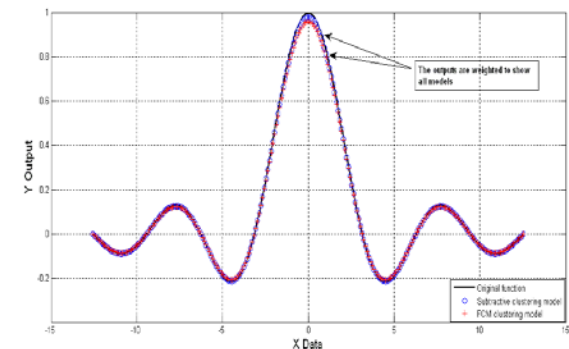


Figure 8: FCM Vs Subtractive for modeling  $y=\sin(x)/x$ , 100 clusters.

Table 5: Validity measure for subtractive clustering.

Radii value	Generated number of clusters	Dave's index	Bezdek index	Dispersion index(XB)
1.1	2	0.6737	0.8369	0.0601
0.9	3	0.6684	0.7790	0.1083
0.5	5	0.6463	0.7171	0.1850
0.02	100	0.8662	0.8676	0.1054
0.01	126	1	1	$1.6077 * 10^{-29}$

Table 6: Validity measure for FCM clustering.

Number of assigned clusters	Dave's index	Bezdek index	Dispersion index(XB)
2	0.7021	0.8511	0.0639
3	0.7063	0.8042	0.0571
5	0.6912	0.7530	0.0596
100	0.8570	0.8487	0.0741
126	NA ~ 1**	NA ~ 1**	NaN~0**

The Modeling errors are measured through LSE. Table 7 summaries the modelling error from each method and those obtained by Moaqt and Ramini. The LSE obtained by FCM followed by FIS training is  $4.8286 \times 10^{-9}$ . On the other hand, the same function is modeled using the subtractive clustering algorithm and the outcome error is  $2.2694 \times 10^{-25}$ . The default parameters with very minimal changes to the radii are used for the subtractive clustering algorithm. The above example was solved by Alata et al. [3] and the best value through deploying Genetic algorithm optimization for both the subtractive parameter and for the FCM fuzzifier is  $1.28 \times 10^{-10}$ . The same problem was solved by H. Moaqt [4]. Moaqt [4] used subtractive clustering to define the basic model and then a 2<sup>nd</sup> order ANFIS to optimize the rule. The obtained modeling error is  $11.3486 \times 10^{-5}$ .

Table 7: Modeling results for Subtractive Vs FCM.

Used Algorithm	Number of generated clusters	Output error With training	Output error Without training	Alta (2 <sup>nd</sup> order Sugeno to optimize the rule)	Ramini ( Using GA optimization)
FCM	100(user input)	$4.8286 \times 10^{-9}$	27.0883	----	$1.28 \times 10^{-10}$
Subtractive	100	----	$2.2694 \times 10^{-25}$	$11.349 \times 10^{-5}$	---

**Example 2: Single-input single-output function**

Another nonlinear function  $y = \frac{\sin x}{x^3}$  is modeled by

using subtractive and FCM clustering. Figure 9 shows the original function and output models generated from FCM and Subtractive clustering using 126 clusters. Table 8 lists validity indices (Dave's index, Bezdek index, and Dispersion index) versus radii parameter for subtractive clustering. Based on the validity measure, table 8 shows that using radii parameter equals to 1.1 that generates two clusters is better than that associated with using 3, 5, or 60 clusters. Optimal representation is obtained when setting radii parameter equals to 0.01 (generated 126 clusters).

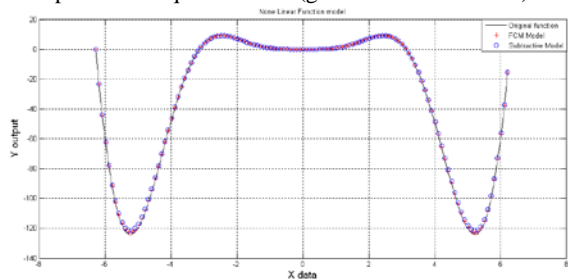


Figure 9: Single input function models, FCM Vs Subtractive, 126 clusters.

Table 8: Validity measure Vs cluster parameter for subtractive clustering.

Radii parameter	Generated number of clusters	Dave's index	Bezdek index	Dispersion index(XB)
1.1	2	0.8436	0.9218	0.0231
0.9	3	0.7100	0.8067	1.1157
0.4	5	0.7010	0.7608	0.6105
0.05	60	0.7266	0.7311	1.1509
0.01	126	1	1	$2.1658 \times 10^{-5}$

The same function is modeled using FCM clustering. Table 9 lists the validity measures (Dave's, Bezdek and Dispersion index) versus number of clusters. Similar behavior to Subtractive clustering is observed when using FCM, such that optimal values associated with using 126 clusters. Table 10 lists the resulting modeling error for both algorithms.

Table 9: Validity measure Vs cluster parameter for FCM clustering.

Number of assigned clusters	Dave's index	Bezdek index	Dispersion index(XB)
2	0.8503	0.9251	0.0258
3	0.8363	0.8908	0.0300
5	0.7883	0.8307	0.0513
60	0.7555	0.7416	2.7595
110	0.9389	0.9271	0.1953
126	Na~1	Na~1	Na~0

Table 10: Modeling results for subtractive Vs FCM.

Used Algorithm	Number of generated clusters	Output LSE error With training	Output LSE error Without training
FCM	110(user input)	$5.6119 \times 10^{-5}$	$2.82 \times 10^{-25}$
Subtractive	110	----	$1.4155 \times 10^{-25}$

**4.3. Modeling experimental data:**

A set of data that represent a real system with an elliptic shaped model obtained from real data experiments [14] is modeled. Figure 10 shows the real data points and the generated models obtained from FCM and subtractive clustering using 38 clusters.

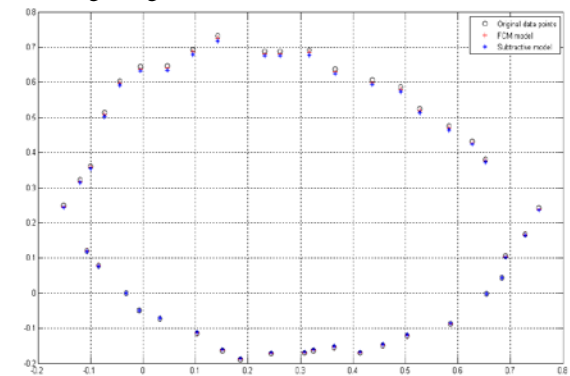


Figure 10: Elliptic shaped function models, FCM Vs Subtractive.

Table 11 summaries the validity measure variations with radii parameter for subtractive clustering. For this set of data, optimal value of validity measure obtained when radii parameter is 0.02. Generally, the smaller the radii parameter is the better the modeling results are. From the table 11, the model generated by using radii =1.1 that generated 2 clusters performed better than that obtained from using three clusters. The reason behind this is that the data is distributed into two semi-spheres (one cluster for each semi-sphere). However, further decrease in the value of Radii improves the resulting model.

Table 11: Validity measure Vs cluster parameter for subtractive clustering.

Radii parameter	Generated number of clusters	Dave's index	Bezdek index	Dispersion index(XB)
1.1	2	0.5725	0.7862	0.1059
0.9	3	0.5602	0.7068	0.1295
0.5	6	0.6229	0.6858	0.0806
0.05	39	0.9898	0.9895	0.0077
0.02	40	1	1	4.9851*10 <sup>-25</sup>

Table 12 summaries the validity measure variation with the number of clusters using FCM algorithm. Similar behavior to the subtractive clustering is observed.

Table 12: Validity measure Vs cluster parameter for FCM clustering.

Number of assigned clusters	Dave's index	Bezdek index	Dispersion index(XB)
2	0.5165	0.7582	0.1715
3	0.5486	0.6991	0.1151
20	0.7264	0.7287	0.0895
30	0.8431	0.8395	0.1017
39	0.9920	0.9813	0.0791
40	Na~1	Na~1	Na~0

Table 13 compares the LSE error with and without training between FCM and subtractive clustering. It can be seen that FCM algorithm without training routine does not model the data. However, using FCM with training algorithm yields acceptable results. On the other hand, the LSE error resulted from using subtractive algorithm is on the order of 10<sup>-29</sup>. Double superscript \* indicates that the value listed here is an average of 20 different runs.

Table 13: Modeling results for subtractive Vs FCM.

Used Algorithm	Number of generated clusters	Output LSE error With training	Output LSE error Without training
FCM	39 (user input)	1.9906*10 <sup>-3**</sup>	4.2625
Subtractive	39	--	2.1244*10 <sup>-29</sup>

## 5. Conclusion

In this work a comparison study has been performed between FCM clustering algorithm and subtractive clustering algorithm according to their capabilities to model a set of non-linear systems and experimental data. A concise literature review is provided. The basic parameters that control each algorithm are presented as well. The clustering results from each algorithm are assessed using Dave's, Bezdek, and Xi-Beni validity measurement indices.

For the majority of the system discussed earlier; increasing the number of generated cluster yields an improvement in the validity index value. The optimal modelling results are obtained when the validity indices are on their optimal values. Also, the models generated from subtractive clustering usually are more accurate than those generated using FCM algorithm. A training algorithm is needed to accurately generate models using FCM. However, subtractive clustering does not need training algorithm. FCM has inconsistency problem such that, different running of the FCM yield different results as the algorithm will choose an arbitrary v matrix each time. On the other hand, subtractive algorithm produce consist results.

The best modelling results obtained for example 1 when setting the cluster number equals to 100 for the FCM and the radii to 0.01. Using these parameter values yields an optimal validity results. The LSE was 4.8286\*10<sup>-9</sup> for the FCM and 2.2694\*10<sup>-25</sup> using the subtractive clustering.

For the data in example 2 optimal modelling obtained when using 126 clusters for the FCM and 0.01 radii input for the Subtractive. The LSE are 5.6119\*10<sup>-5</sup> and 7.0789\*10<sup>-21</sup> for the FCM and subtractive respectively. As for the data in example 3 going to 110 clusters for the FCM and 0.03 radii yields the best clustering results that is, 5.6119\*10<sup>-5</sup> for the FCM and 1.4155\*10<sup>-25</sup> for the subtractive clustering. As for experimental data which for an elliptic shape, optimal models obtained when using 39 clusters for FCM. For subtractive clustering, optimal values obtained by setting radii=0.02. The LSE is 1.9906\*10<sup>-3</sup> and 2.4399\*10<sup>-28</sup> for the FCM and subtractive respectively.

Optimising the resulting model from FCM using a Sugeno training routine has produced a significant improvement in the system modelling error. For data that lacks of natural condensation, the optimal number of clusters tends to be just below the same number of data points in the raw data set. Tuning the radii parameter for the subtractive clustering was an efficient way to control the generated cluster number and consequently the validity index value and finally modelling LSE.



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